Georgia Long-Term Care Antibiotic Stewardship Webinar Series April 18, 2019

Developing an Antibiotic Stewardship Infrastructure in Nursing Homes: from Theory to Practice

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Disclosures



- R18HS023779-01
- 1R01HS026747-01



Consultant Activities:

1. Zurex Pharmaceuticals (Madison, WI): provide strategic advice on development and testing of the company's novel anti-septic platform (<\$5,000).

- Cooperative Studies Program #2001
- FOP 19-334 (VA-CDC Infection Control Practice Based Research Network)

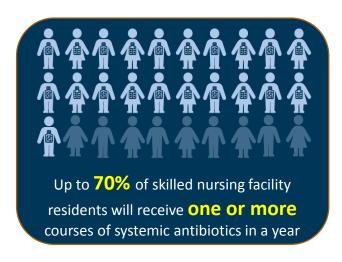


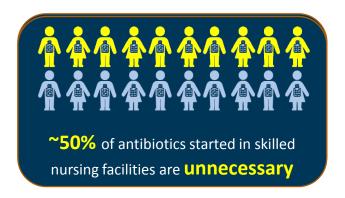
Objectives

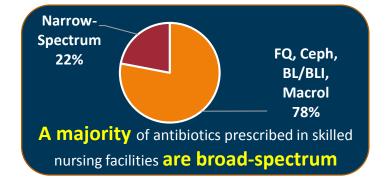
- Regulatory requirements for the structure and process of an antibiotic stewardship program.
- Assessing your facility's compliance with existing regulatory requirements.
- Identification and involvement of individuals within the facility that should be involved in the antibiotic stewardship program.
- Identification of resources outside the facility that can assist with development of the antibiotic stewardship program.



Why Antibiotic Use in SNFs Matters







50% < 7d > 50%

Half of antibiotic course for treatment of common infections are prescribed for more than a week.



Why Antibiotic Use in SNFs **Matters**

HARMS AT INDIVIDUAL LEVEL



- 20% of all adverse drug events (ADEs) in nursing homes caused by antibiotics
- Antibiotic-associated ADEs are one of the most common reasons for transfer to ER



- C. difficile infection (CDI) is a life-threatening intestinal disease caused by antibiotics
- · 12% of nursing home residents treated inappropriately for UTI develop CDI



- ~50% of nursing residents are colonized with antibiotic-resistant organisms (AROs)
- Antibiotic exposure is the single most important risk factor for ARO colonization

HARMS AT FACILITY LEVEL



Residents in nursing homes with higher antibiotic use have a

24%

increased risk of antibiotic-related

harm



Antibiotics account for 1/3 of all survey penalties

for inappropriate medication use in Wisconsin nursing homes

HARMS AT POPULATION LEVEL



- Half of the residents transferred to the hospital are colonized with C. difficile and/or antibioticresistant bacteria which may be spread to others
- · Nursing homes have been repeatedly implicated in the regional spread of resistance
- Mathematical models suggest that antibiotic resistance cannot be controlled in hospitals without controlling resistance in nursing homes



Our Government and Public Health Authorities Are Concerned



NATIONAL ACTION PLAN TO PREVENT HEALTH CARE-ASSOCIATED INFECTIONS: ROAD MAP TO ELIMINATION APRIL 2013

CHAPTER 8: LONG-TERM CARE FACILITIES

NATIONAL ACTION PLAN FOR COMBATING ANTIBIOTIC-RESISTANT BACTERIA

MARCH 2015



DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Medicare & Medicaid Services

42 CFR Parts 405, 431, 447, 482, 483, 485, 488, and 489

[CMS-3260-F]

RIN 0938-AR61

Medicare and Medicaid Programs; Reform of Requirements for Long-Term Care Facilities

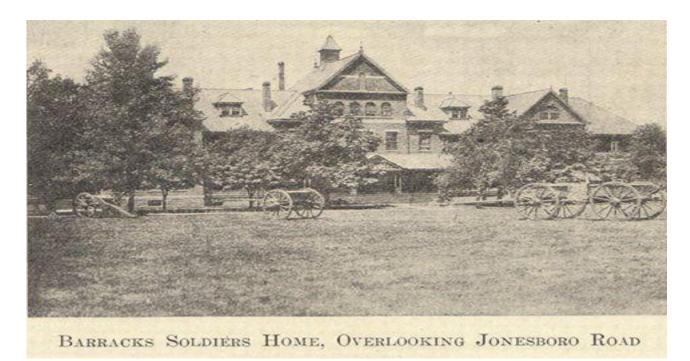
AGENCY: Centers for Medicare & Medicaid Services (CMS), HHS.

ACTION: Final rule.



Evolution of Nursing Homes & the Regulatory

Environment



Marion Branch National Home for Disabled Volunteer Soldiers, Indiana







1965 – The Older Americans Act (Medicare & Medicaid)



Nursing Home Reform Act of 1987 OBRA 87



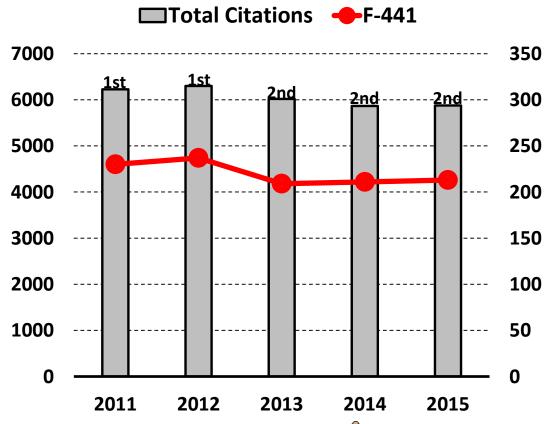
Regulatory Overview

- SNF's are required to be "certified" in order to receive reimbursement from Medicare and Medicaid (majority are dually certified).
- Certification is renewed annually and involves an on-site inspection by team of surveyors.
- OBRA 87 led to the development of <u>process</u> standards that facilities must demonstrate during their inspection in order to remain certified
- 1991 introduced the MDS-derived <u>outcome</u> (QM/QI) measures
- Survey-identified deficiencies
 - Assigned to a specific category (F-tag)
 - Assigned a level of severity ("1" = no actual harm with potential for minimal harm \rightarrow "4" = immediate jeopardy to resident health and/or safety
 - Assigned scope (isolated, pattern, widespread)
 - Matrix assigned rating (A \rightarrow J)
 - All identified deficiencies require corrective action and may result in fines or denial of recertification (i.e., closure)

• <u>1990-2009</u>

- 5 survey tags 6 pages
- F441 "Infection Control"
- F442 "Preventing Spread of Infection"
- F443 "Employees with Communicable Disease"
- F444 "Handwashing"
- F445 "Linens"
- No clear guidance on how to interpret the regulations
- Antimicrobial stewardship???

Trends in Survey Deficiencies in Wisconsin Nursing Homes: 2011-2015



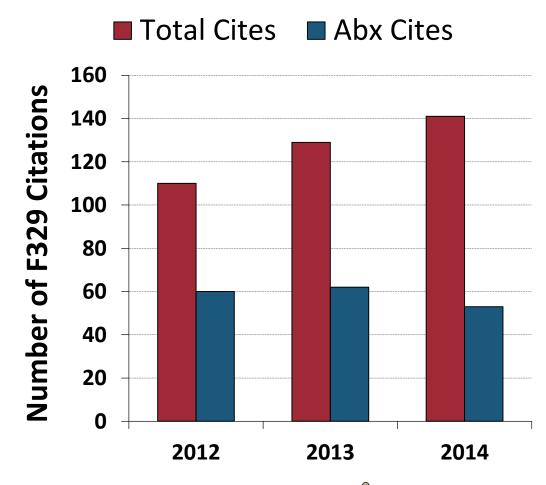


2005

- F329 Unnecessary drugs
 - Often interpreted to apply only to antipsychotic medications
 - Actually applies to any high-risk medication

• <u>2009</u>

- Surveyor Guidance updated 34 pages
- Collapsed tags to F441 "Infection Control" Required infection control program
 - Person who oversees, but short of requiring "IP"
 - Oversight not a full FTE
 - Hand hygiene
 - Transmission based precautions
 - Antibiotic review review data to ensure appropriate use ???





• <u>2016</u>

- Sweeping change to regulations
 - Moved vaccination regs under IC regs
 - Focus expanded to include interrupting transmission in addition to preventing infections
 - Must follow national standards (NHSN or McGeer)
 - Facilities are required to base their IPCP program based on an annual facility assessment
 - Facilities must employ and designate an individual for responsibility the IPCP who has received specific training in IP&C
 - §483.80(a)(3): The facility IPCP must include an antibiotic stewardship program that includes antibiotic use protocols and a system to monitor antibiotic use.

Interpretive guidance is 702 pages (IC-related sections 49 pages)

https://www.federalregister.gov/documents/2016/10/04/2016-23503/medicare-and-medicaid-programs-reform-of-requirements-for-long-term-care-facilities



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11/2019

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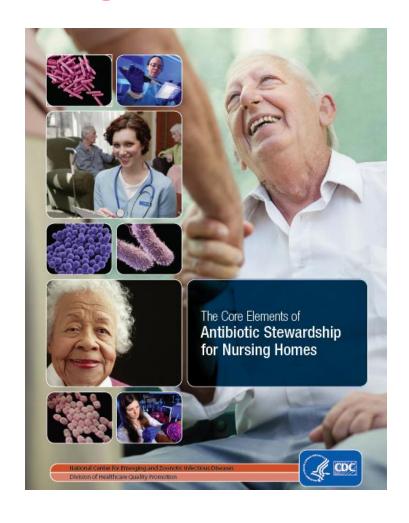


Is the Nursing Home Ready?	Yes	No
Is key leadership supportive of this effort? Support by leadership (i.e., the board and/or		
administrator, director of nursing, or medical director) is critical to change.		
Is the medical director actively involved in quality improvement and/or infection control?		
Is the nursing home financially stable?		
Is the nursing home's ownership and/or management stable (i.e., no changes anticipated over the next six months)?		
Is the nursing home in good standing with the State Survey Agency (e.g., not identified as a Special Focus Facility, not under State receivership, has not had admissions frozen)?		
Are there at least two staff who can serve as program champions and commit to		
leading the activity? Program champions could include (but are not limited to) the director of nursing, assistant director of nursing, charge nurse(s), infection prevention consultant/practitioner, and the medical director or other prescribing clinician. It is critical that at least two, if not more, staff are willing to lead the effort and champion it.		
Is there time to train staff? Implementation will require training for nursing staff and possibly prescribing clinicians, depending on the toolkit. Initial training for nurses and prescribing clinicians may take approximately 30 minutes to 2 hours. Are there sufficient resources (e.g., time, funds) to cover such training?		
Are there sufficient funds to make copies of materials for nurses, prescribing clinicians, and, as appropriate, residents and family members?		
Are there resources for implementing mechanisms to sustain the effort (e.g., staff who can train new nurses as they are hired and include the topic in the annual education program)? The key to sustaining any new activity is ensuring everyone is knowledgeable about it.		

Agency for Healthcare Research and Quality Nursing Home Antimicrobial Stewardship Guide: Toolkit 1 – Starting an Antimicrobial Stewardship Program (https://www.ahrq.gov/nhguide/toolkits/implement-monitor-sustain-program/toolkit1-start-program.html)



Regs Modeled on CDC Core Elements





Leadership commitment

Demonstrate support and commitment to safe and appropriate antibiotic use in your facility



Accountability

Identify physician, nursing and pharmacy leads responsible for promoting and overseeing antibiotic stewardship activities in your facility



Drug expertise

Establish access to consultant pharmacists or other individuals with experience or training in antibiotic stewardship for your facility



Actio

Implement at least one policy or practice to improve antibiotic use



Tracking

Monitor at least one process measure of antibiotic use and at least one outcome from antibiotic use in your facility



Reportin

Provide regular feedback on antibiotic use and resistance to prescribing clinicians, nursing staff and other relevant staff



Education

Provide resources to clinicians, nursing staff, residents and families about antibiotic resistance and opportunities for improving antibiotic use

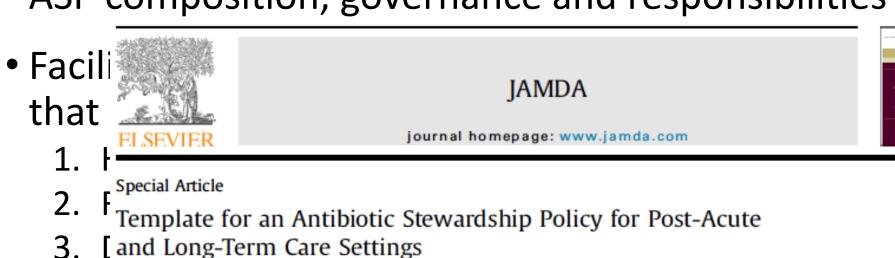




- ASP composition, governance and responsibilities
- Facilities will develop and implement an ASP protocol (policy) that includes the following:
 - 1. How program will be integrated into facility IPCP
 - 2. Frequency of program review
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 - 5. Description of antibiotic use and resistance outcome monitoring procedures and how these data will be communicated to facility staff and providers
 - 6. Method and frequency of staff/prescriber education



ASP composition, governance and responsibilities



Robin L.P. Jump MD, PhD a,b,*, Swati Gaur MD, MBA, CMD C, Morgan J. Katz MD , Christopher J. Crnich MD, PhD e,f, Ghinwa Dumyati MD g, Muhammad S. Ashraf MBBS h,

5. [Elizabeth Frentzel MPH, Steven J. Schweon RN, MPH, MSN, CIC, HEM, Philip Sloane MD, MPH, David Nace MD, MPH, CMD on behalf of the Infection Advisory Committee for AMDA—The Society of Post-Acute and Long-Term Care Medicine

Staff and providers

6. Method and frequency of staff/prescriber education

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JAMDA



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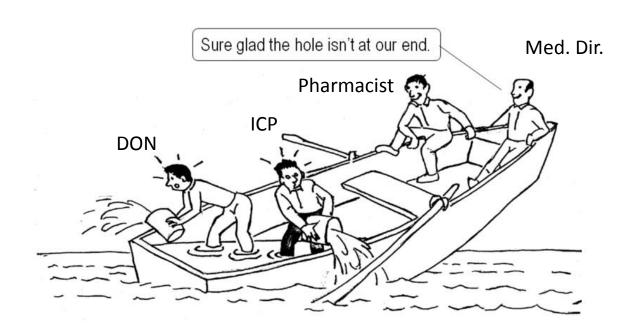


ASP Team Membership

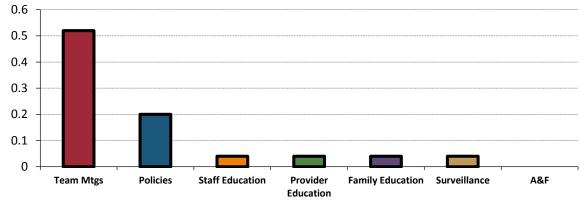
- ASP team should involve the <u>facility leadership</u>
 - Medical director
 - Director of Nursing
 - Administrator
- Facility <u>pharmacist</u> should be involved in ASP team
 - "Must perform a medication regimen review (MRR) at least monthly, including review of the medical record and identify any irregularities, including unnecessary drugs."
- Facility infection preventionist should be part of ASP team



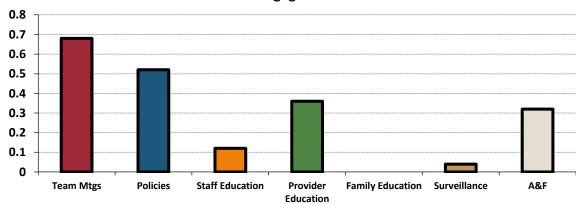
Who is doing the ASP work in NHs?



Which of the following stewardship activities is the facility pharmacist engaged in?



Which of the following stewardship activities is the medical director engaged in?



Crnich et al. *IDWeek* 2015 Taylor et al. *IDWeek* 2016



- Role modeling
- Active participant in QAPI meeting
 - Review antibiotic utilization data
 - Review antibiotic-related outcomes
- Review/development of policies and protocols
- Involved in staff and provider educational activities
- Involved in management of the "negative deviants"



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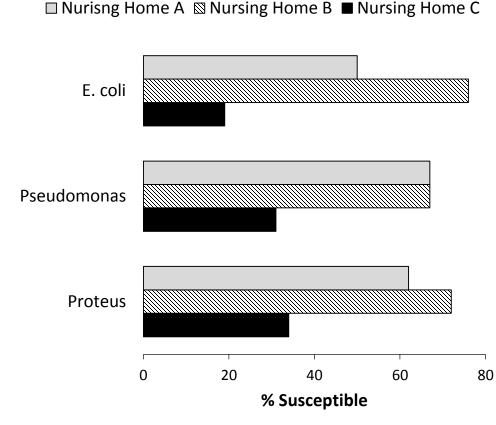




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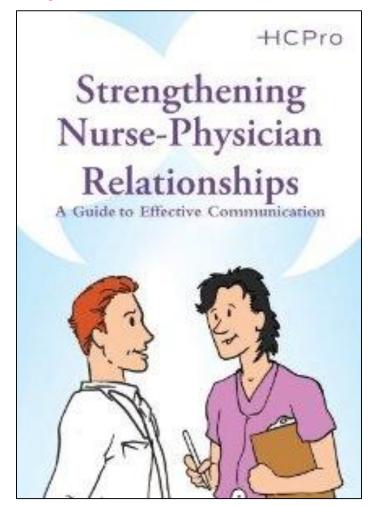
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Drinka et al. *JAMDA* 2013; 14(6): 443 Furuno et al. *Infect Control Hosp Epidemiol* 2014



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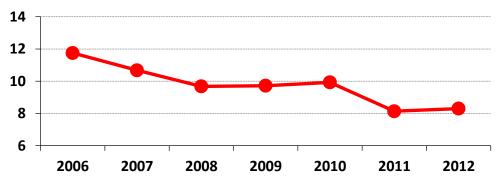




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- A MRSA outbreak in a 147-bed NH in WI led to an intensive review of facility microbiology and antibiotic prescribing data
- Review of urinary antibiogram identified
 - 31/100 (27%) all isolates were Enterococcus sp.
 - 87% of E. coli resistant to ciprofloxacin
- Facility embarked on several interventions:
 - Provided staff with antibiogram results
 - · Guideline-concordant prescribing tracked by facility staff
 - Medical director sent out letters to outlier providers

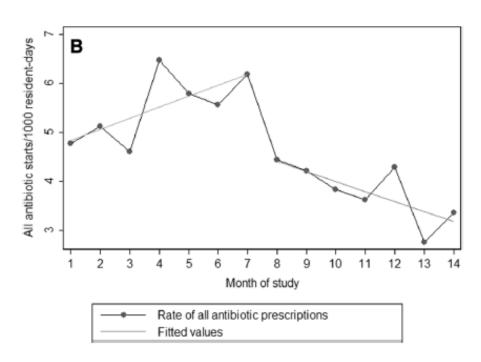
Abx Starts per 1,000 Resident-Days



The Pew Charitable Trusts – A path to better antibiotic stewardship, 2016

How can the Pharmacist Help?

- Active participant in QAPI meeting
 - Review antibiotic utilization data
 - Review antibiotic-related outcomes
- Review/development of treatment protocols
- Play an active role in tracking and reporting of antibiotic utilization
- Play an active role in identification of potentially inappropriate antibiotic use & provision of recommendations for modification to providers



Doernberg et al. Antimicrob Res Infect Control 2015; 4(1): p. 54



- ASP composition, governance and responsibilities
- Facilities will develop and implement an ASP protocol (policy) that includes the following:
 - 1. How program will be integrated into facility IPCP
 - 2. Frequency of program review (at least annually)
 - 3. Description of the procedures for establishing infection
 - 4. Description of expected antibiotic prescribing practices
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Suspected UTI SBAR

Complete	this form	before contacting the resident's physician.	Date/Time	
Nursing H	lome Nan	ne	A DAW WATER DO	
Resident	Name _		Date of Birth	
Physician,	/NP/PA		Phone	
			Fax	
Nurse				
		hone		
Active dia	700000000000000000000000000000000000000	d r other symptoms (especially, bladder, kidney/	genitourinary conditions)	
	□ Yes	The resident has an indwelling catheter		
□ No	□ Yes	-		
□ No	□ Yes	The resident is incontinent If yes, new/worsening? ☐ No ☐ Yes		
□ No	□ Yes	Advance directives for limiting treatment relationships specify		
□ No	□ Yes	Medication Allergies		
		Specify		
□ No	□ Yes	The resident is on Warfarin (Coumadin®)		

1	Aupo	ACCUPATION NAMED IN
111	Annx	HAIS
)الر _	Agency for Healthcare Research and Quality	Santana I
1	Agency for Healthcare Research and Quality Advancing Excellence in Health Care • www.ahrq.gov	

www.ahrq.gov/NH-ASPGuide · June 2014 AHRQ Pub. No. 14-0010-2-EF

Nursing Home Name	Facility Fax		
Resident Name			
A Assessment Input (che	eck all boxes that apply)		
A Assessment Input (che Resident WITH indwelling cathete The criteria are met to initiate antibiotics if one of the below are selected No Yes Fever of 100°F (38°C) or repeated temperatures of 99°F (37°C)* Acute pain Rigors / shaking chills New dramatic change in mental status Hypotension (significant change from baseline BP or a systolic BP < 90)	Criteria are met if one of the three situations are met No Yes 1. Acute dysuria alone OR 2. Single temperature of 100°F (38°C) and at least one new or worsening of the following: urgency suprapubic pain pack or flank pain urinary incontinence OR 3. No fever, but two or more of the following symptoms: urgency suprapubic pain		
 □ Nursing home protocol criter □ Nursing home protocol criter 	. cate whether or not criteria are met ia are met. Resident may require UA with C&S or an antibiotic.† ia are NOT met. The resident does NOT need an immediate but may need additional observation.††		
R Request for Physician/			
□ Order UA □ Urine culture □ Encourage ounces of □ Record fluid intake. □ Assess vital signs for □ Notify Physician/NP/PA if symp □ Initiate the following antibiotic Antibiotic: □ No □ Yes Pharmacist to	through Phone Rax In Person Other liquid intake times daily until urine is light yellow in color. days, including temp, every hours for hours. toms worsen or if unresolved in hours. Dose: Route: Duration: padjust for renal function		
-	Date/Time		
- · · ·	Date/Time		
Family/POA notified (name)			
* For residents that regularly run a lower t	emperature, use a temperature of $2^\circ F(1^\circ C)$ above the baseline as a definition of a fever of best practices and our facility protocols. Minimum criteria for a UTI must meet 1 of 3		

^{††} This is according to our understanding of best practices and our facility protocols. The information is insufficient to indicate an active UTI infection.



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Antibiotic Prescribing Practices & Treatment Protocols

Practices

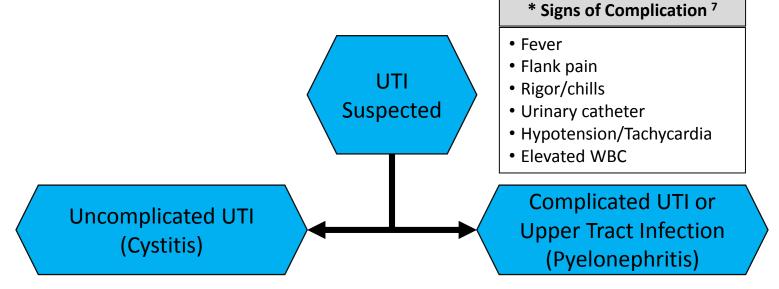
- Documentation of treatment indication, drug, dose duration (high likelihood of survey focus)
- Procedures for reviewing lab/imaging studies and communicating results to providers (high likelihood of survey focus)
- Antibiotic time-out procedures (negative cultures & bug-drug mismatches will get you in trouble

Treatment Protocols

- Preferred antibiotics for specific infections
- Avoidance of specific agents (fluoroquinolones)
- Minimizing long-course therapy



What Am I Treating?



- Urethral symptoms (dysuria, frequency) are predominant
- Signs of complication* are absent
- Can often <u>wait</u> for culture results before starting treatment
- <u>Females</u> can often be treated for less than 7 days depending on the agent used

- Signs of complication* are present
- <u>Don't wait</u> for culture results if resident has high fever, rigors or hypotension/tachycardia
- Use agents that provide high blood and urine levels (IV agents, TMP/SMX, and fluoroquinolones)

Empiric Treatment of Cystitis

Females

	Estimated Creatinine Clearance (eCrCl)			
Preference	>30	15 – 30	<15	
First	Nitrofurantoin 100mg BID (5 days) OR TMP/SMX 160/800 BID (3 days)	TMP/SMX 80/400 BID (3 days)	Ciprofloxacin 250mg BID (3 days)	
Second	Fosfomycin 3gm (Once) Re-dose on day #3 if extending treatment >3d	Fosfomycin 3gm (Once) Re-dose on day #3 if extending treatment >3d	Fosfomycin 3gm (Once) Re-dose on day #3 if extending treatment >3d	
Third	Ciprofloxacin 250mg BID (3 days)	Ciprofloxacin 250mg BID (3 days)		



Empiric Treatment of Cystitis

Males

	Estimated Creatinine Clearance (eCrCl)			
Preference	>30	15 – 30	<15	
First	Nitrofurantoin 100mg BID (7 days) OR TMP/SMX 160/800 BID (7 days)	TMP/SMX 80/400 BID (7 days)	Ciprofloxacin 250mg BID (7 days)	
Second	Fosfomycin 3gm (Dose on day #1, #3, #5)	Fosfomycin 3gm (Dose on day #1, #3, #5)	Fosfomycin 3gm (Dose on day #1, #3, #5)	
Third	Ciprofloxacin 250mg BID (7 days)	Ciprofloxacin 250mg BID (7 days)		



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Antibiotic tracking and reporting requirements

- What needs to be tracked?
 - Utilization measure
 - Outcome measure (C. diff rates, MRSA rates, antibiogram)
 - Appropriateness measure
- What type of reporting?
 - QAA meeting
 - Providers



Antibiotic Measures

Utilization	Appropriateness								
 Antibiotic start (event) 	• Necessity								
 Days of therapy (DOT/AUR) 	 % of courses exceeding "X" days 								
 Length of therapy (LOT) 	 Appropriateness of spectrum 								
 Defined daily dose (DDD) 	 Appropriateness of dose 								
 Costs (per a-day/r-day) 									



Antibiotic Starts

Pros

- Many facilities are already doing this (typically counts only)
- Aligned with current 24-hour report & infection log processes
- Relatively easy to marry with treatment indication
- Not influenced by prophylactic therapy
- Can be easily modified to exclude hospital-initiated antibiotics

• Cons

- Current data systems dictate reliance on manual data abstraction methods
 - If automated, could be inflated by intermittent therapy (fosfomycin, vancomycin), treatment interruptions and treatment modifications
 - Suboptimal reliability of 24-hour report/infection logs
- Does not address prophylactic antibiotics
- Does not address dimensions of appropriateness (necessity, duration, spectrum)



Days of Therapy (DOT)

Pros

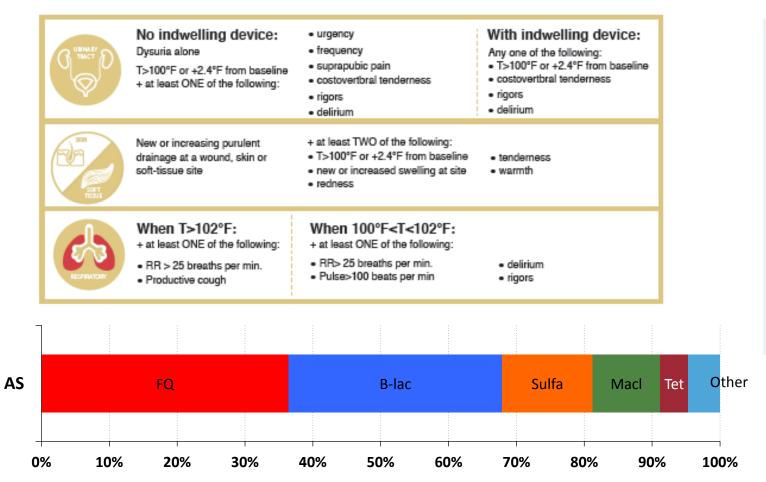
- Identical to the hospital AU measure
- Does provide indirect information on length of therapy (not the case in hospitals)
- More amenable to automation than antibiotic starts

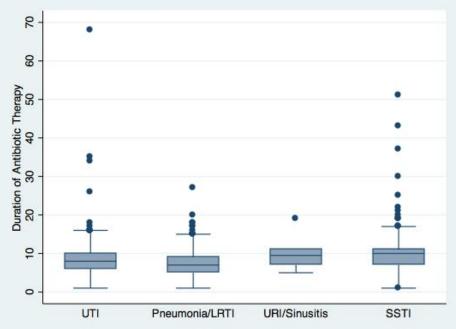
Cons

- May be difficult to parse out hospital-initiate antibiotics
- May be difficult to parse out prophylactic antibiotics
- May be difficult to parse out relative contribution of different treatment indications
- Only captures information on one dimension of appropriateness (duration)



Measures of Appropriateness





Loeb et al. *ICHE* 2001 Crnich et al. IDWeek 2012 Daneman et al. *JAMA Intern Med* 2013; 173(8): 673-82



Suggestions for developing tracking workflows

- Start having conversations with facility pharmacy
 - Most pharmacy services maintain a database that details drug, dispense date and days of therapy that was dispensed
 - They will not often have data on indication or appropriateness
- Offload primary data collection to frontline staff
 - Every facility uses a 24-hour board that can potentially be adapted to capture discrete resident information
 - Can get information on antibiotic starts, duration of therapy and indication
 - Will be difficult to incorporate appropriateness (duration being an exception)
- Integrate into infection surveillance activities
 - IP is required to maintain line-list of infections in the facility
 - It is minimal effort to capture data on antibiotic use
 - Can assess appropriateness



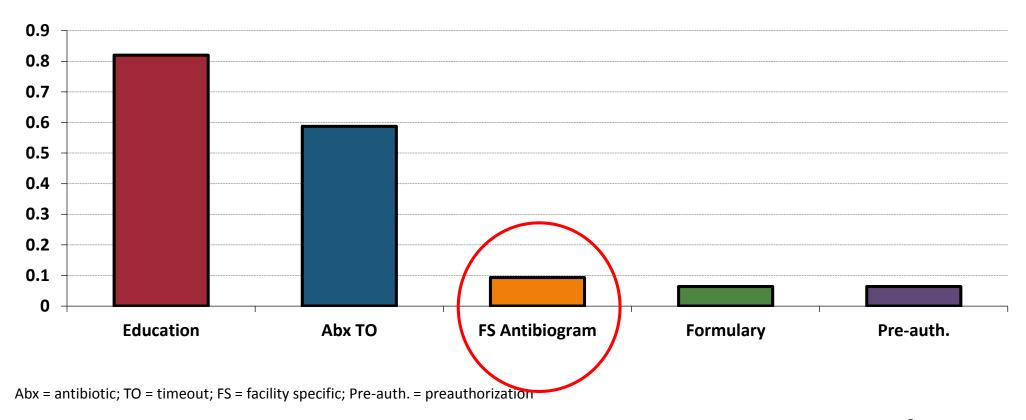
Other suggestions

- Use cross-sectional approaches to identify problem areas
- Design prospective tracking efforts with your improvement activities in mind
 - Focus on tracking UTI treatment if your efforts are only focused on UTI
 - Make sure you have some tool for assessing diagnosis shifting (everyone who used to have UTI now has respiratory tract infection)
- Trend your data using incidence densities (e.g., events per 1,000 resident-days) rather than count data
- Be careful when comparing your data to external data



ASP Process

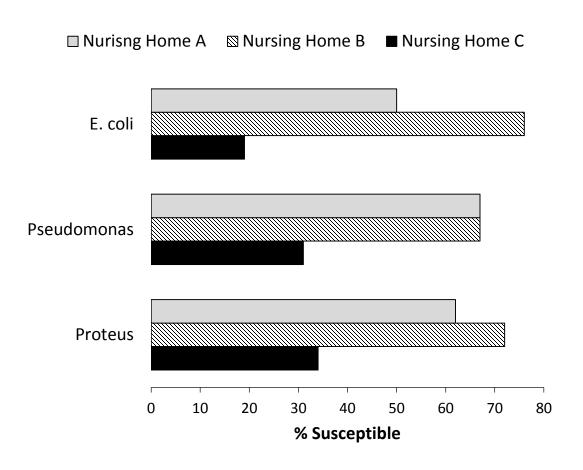
Which of the following stewardship interventions are employed in your facility?



Crnich et al. *IDWeek* 2015 Taylor et al. *IDWeek* 2016



Antibiotic-Related Outcomes



Drinka et al. <i>JAMDA</i> 2013; 14(6): 443
Furuno et al. Infect Control Hosp Epidemiol 201

Gram (-)	SNF	# of Isolates	Aminoglycosides		B-Lactams			Carbapenems			Cephalosporins					Quinolone			Others				
			Gentamicin	Amikacin	Tobramycin	Ampicillin	Ampicillin- Sulbactam	Pipercillin- tazobactam	Imipenem	Meropenem	Ertapenem	Cefazolin	Cefoxitin	Ceftriaxone	Ceftazidime	Cefepime	Ciprofloxacin	Levofloxacin	Moxifloxacin	Tetracycline	TMP/SMX	Tigecycline	Nitrofurantoin
Escherichia coli	1	13	85	100	92	39		92	100			85	100	100	100		39		1		39		92
	2	19	84			32	42	100	100		100	84					37	37		58	58		
	3	16	69	100	71	31			100	100	100	88		93		93	38		36		50	100	94
Klebsiella sp	1	. 7	57	71	71			86	86					57	57						71		29
	2	9	100			0	67	89			89	56					67	78		78	89		
	3	0																					
Proteus mirabilis	1	13	83	100	92	92		100					82	100	100		31				69	,	
	2	17	100			82		94			100	82					53	59		0	88		
	3	10	100	100	100	60				100	100	70		70		70	70		50		70		0
Pseudomonas aeruginosa	1	4	100	100	100			100	100						100		75						
	2	0																					
		0																					

- 80% of cultures from a urine sample
- 85% of the antibiotic use in the 3 NHs was empiric (before cultures)
 - 54% involved a fluoroquinolone antibiotics
 - 65% of episodes associated with discordant (inappropriate) therapy
- Making antibiogram available reduced inappropriate use to 55%

Specific Regulatory Language

- ASP composition, governance and responsibilities
- Facilities will develop and implement an ASP protocol (policy) that includes the following:
 - 1. How program will be integrated into facility IPCP
 - 2. Frequency of program review
 - 3. Description of the procedures for establishing infection
 - 4. Description of expected antibiotic prescribing practices
 - 5. Description of antibiotic use and resistance outcome monitoring procedures and how these data will be communicated to facility staff and providers
 - 6. Method and frequency of staff/prescriber education



Staff & Provider Education

Table 3. Random Assignment and Treatment with Parenteral Antibiotics According to Guideline

Random Assignment of SNFs

Multi-Disciplinary Training Physician-Only Training

(% of episodes with guideline indication)

Preintervention Postintervention

50% (10/20) 81.8%* (18/22) 64.5% (69/107) 69% (29/42)

*P = .06.

SNF = skilled nursing facility.

Naughton et al. J Am Geriatr Soc 2001; 49(8): 1020-4



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Brief report

Results of a Veterans Affairs employee education program on antimicrobial stewardship for older adults



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- Emphasize alternatives to problematic antibiotics in older adults (e.g., fluoroquinolones, macrolides, clindamycin, TMP/SMX)
- Emphasize benefits of short-course therapy (most infections can be treated with ≤7 days of therapy)
- Nursing education:

 https://www.coursesites.com/webapps/Bb-sites course-creation BBLEARN/courseHomepage.htmlx?course_id=_348931_
- Provider education: MedPortal Curriculum (https://dx.doi.org/10.15766%2Fmep 2374-8265.10754)



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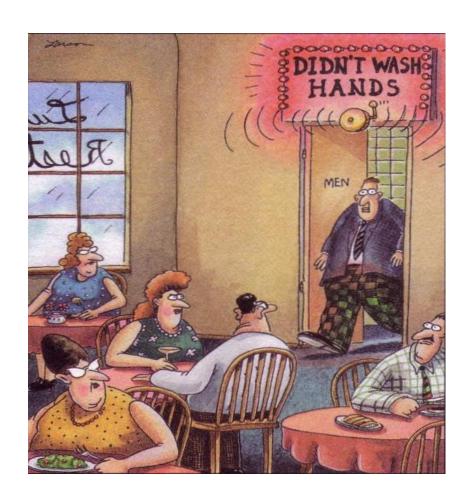
Focus on treatment of common infections (PUS)

How Can External ID & Pharmacy Stewards Help NHs?

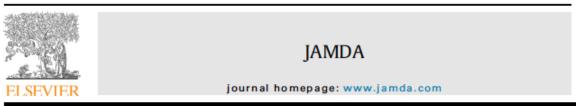
- Facility assessment and improvement target identification
- Guidance on how facilities can best harness existing utilization data
- Guidance on which outcomes to track and how
 - Antibiogram?
- Development of antibiotic use protocols
 - Testing protocols
 - Treatment protocols for common infections (when/how to treat)
 - Testing/prescribing practices to avoid (test-of-cure UCx, suppressive Abx)
- Staff and provider education
- Detailing of outlier providers



Thank You



See Table 1 for good list of additional resources





Special Article

Template for an Antibiotic Stewardship Policy for Post-Acute and Long-Term Care Settings

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